SOXRight™
A Cost-Effective Alternative Approach
For Sarbanes-Oxley Compliance

SOXRight™ was developed for companies that are considering the adoption of Sarbanes-Oxley (SOX), but are concerned about the potential high cost of SOX compliance. Many early adopters of SOX were forced to rely heavily on expensive external resources to meet the mandated timetable. In addition, much of the detailed knowledge gained during the compliance process left with the consultants. To avoid these pitfalls, companies are looking for an alternative approach for SOX compliance that costs less to implement and develops self-sufficiency.

SOXRight™ is such an approach. By educating employees, identifying opportunities for improvement, and utilizing internal resources wherever possible, SOXRight™ creates value and self-sufficiency.

This is accomplished by:
• Providing an overall SOX framework and incorporating methodologies for compliance
• Educating staff to apply the methodologies for all financial controls
• Developing practical reporting mechanisms
• Establishing ongoing control monitoring and maintenance
• Using mostly internal resources to implement SOX over a reasonable timeframe but using external staff for overall project management and guidance

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“After evaluating many competing options, including the use of Big 4 accounting firms, our executives and board of directors chose SOXRight™, a much lower cost approach for adopting Sarbanes-Oxley and one that positions our company for self-sufficiency now and well into the future.”

Chief Financial Officer
of a publicly traded property & casualty insurance company

For more information about SOXRight™ and SOXReady™ Assessment, please contact: info@parkfieldgroup.com or visit our website at: www.soxright.com

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SOXReady™ Assessment
Is Your Company Ready For Sarbanes-Oxley?

SOXReady™ provides a quick and cost-effective way to evaluate your company’s present control environment, and to gauge the level of effort that may be required to adopt Sarbanes-Oxley (SOX) best practices. There are several varieties of SOXReady™ to choose from, ranging from a basic assessment of the control environment to a comprehensive assessment that jump-starts your SOX implementation. Regardless of which SOXReady™ Assessment you choose, you will always receive:

- A detailed State of Readiness Report, which includes many visual aids that quickly focus attention on improvement opportunities.
- A Spreadsheet Use and Control Analysis, which typically identifies significant control weaknesses and opportunities for productivity improvement.

SOXReady™ is an important step towards adopting today’s best practices in corporate governance.

Comprehensive State of Readiness Reports are prepared using surveys, independent process review, and other diagnostic techniques. The reports focus attention on areas that need to be addressed, and include:
- General internal controls
- Executive and staff awareness / readiness
- General information technology environment controls
- Map of business processes underlying each major financial account — the foundation for planning SOX implementation
- Analysis of spreadsheet use in financial accounting processes, along with recommendations for improving controls

Identification and Impact of Process Improvements help identify short-term opportunities to improve efficiency, and help defray the costs of adopting SOX.

For more information about SOXRight℠ and SOXReady™ Assessment, please contact: info@parkfieldgroup.com or visit our website at: www.soxright.com

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