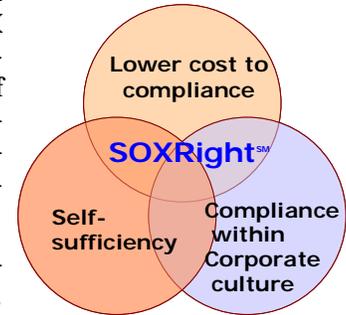


SOXRightSM

A Cost-Effective Alternative Approach For Sarbanes-Oxley Compliance

SOXRightSM was developed for companies that are considering the adoption of Sarbanes-Oxley (SOX), but are concerned about the potential high cost of SOX compliance. Many early adopters of SOX were forced to rely heavily on expensive external resources to meet the mandated timetable. In addition, much of the detailed knowledge gained during the compliance process left with the consultants. To avoid these pitfalls, companies are looking for an alternative approach for SOX compliance that costs less to implement and develops self-sufficiency.

SOXRightSM is such an approach. By educating employees, identifying opportunities for improvement, and utilizing internal resources wherever possible, SOXRightSM creates value and self-sufficiency.



This is accomplished by:

- Providing an overall SOX framework and incorporating methodologies for compliance
- Educating staff to apply the methodologies for all financial controls
- Developing practical reporting mechanisms
- Establishing ongoing control monitoring and maintenance
- Using mostly internal resources to implement SOX over a reasonable timeframe but using external staff for overall project management and guidance



“After evaluating many competing options, including the use of Big 4 accounting firms, our executives and board of directors chose SOXRightSM, a much lower cost approach for adopting Sarbanes-Oxley and one that positions our company for self-sufficiency now and well into the future.”

Chief Financial Officer
of a publicly traded
property & casualty
insurance company

SOXRight SM	Other SOX Initiatives
We perform a quick assessment to determine skill sets, resource estimates and a timetable necessary to achieve a successful SOX implementation	Generally, this assessment is not provided, other than the consulting resources
We educate your staff to internalize a SOX culture and facilitate the project planning and implementation	Basic training is generally provided, but not geared for culture integration
We provide a starting point for most risk/control analysis and focus on the major controls to lay the foundation for self-sufficiency	Generally not done
We identify opportunities to improve performance, and incorporate necessary actions into project plans with the goal of helping offset the costs of SOX compliance	Generally not done
We provide a basic controls assessment that provides the "Requirements Definitions" for potential compliance application systems before you commit resources	In most cases, a costly SOX compliance application is introduced immediately without the benefit of a needs analysis
We provide ongoing monitoring of your compliance and your staff's achievement of self-sufficiency	In some cases, a costly re-engagement is required due to insufficient internal self-sufficiency and lack of instilling a "SOX culture"



For more information about SOXRightSM and SOXReadyTM Assessment, please contact:
info@parkfieldgroup.com or visit our website at: www.soxright.com

SOXReady™ Assessment

Is Your Company Ready For Sarbanes-Oxley?

SOXReady™

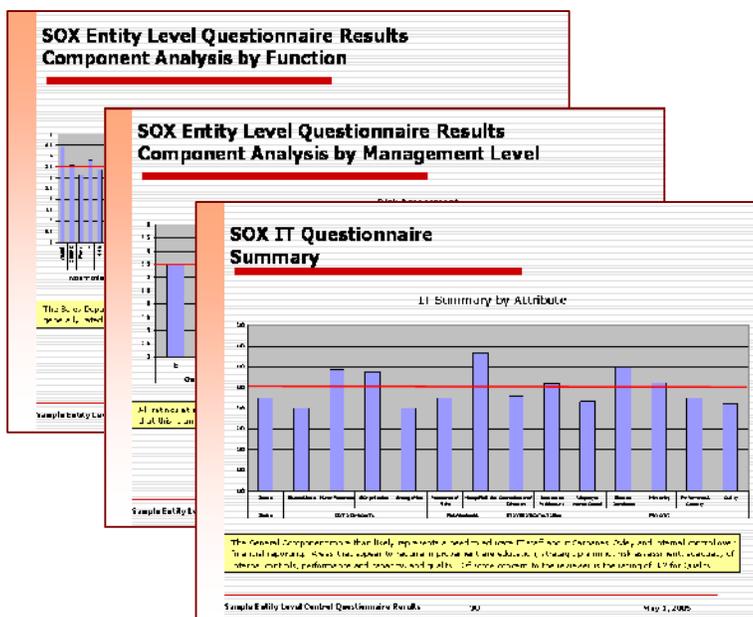
Comprehensively Assess Internal Control Environment, Develop Plan, and Design Approach

SOXReady™ provides a quick and cost-effective way to evaluate your company's present control environment, and to gauge the level of effort that may be required to adopt Sarbanes-Oxley (SOX) best practices. There are several varieties of SOXReady™ to choose from, ranging from a basic assessment of the control environment to a comprehensive assessment that jump-starts your SOX implementation. Regardless of which SOXReady™ Assessment you choose, you will always receive:

- A detailed State of Readiness Report, which includes many visual aids that quickly focus attention on improvement opportunities.
- A Spreadsheet Use and Control Analysis, which typically identifies significant control weaknesses and opportunities for productivity improvement.

SOXReady™ is an important step towards adopting today's best practices in corporate governance.

SOXReady™ Assessment	Quick Assessment (2-3 weeks)	Intermediate Assessment (3-5 weeks)	Comprehensive Assessment (4-6 weeks)
Entity Level Assessment	X	X	X
Spreadsheet Use and Control Analysis	X	X	X
IT Entity Level Assessment	X	X	X
Major Account to Process Map	X	X	X
Resource Implications	X	X	X
Education Sessions for Employees		X	X
Identification and Impact of Process Improvements		X	X
High Level Process Mapping			X
Project Guide/Timeline for 404 Compliance			X
Estimated Cost to Achieve Compliance			X
State of Readiness Presentation/Report	X	X	X



Comprehensive State of Readiness Reports are prepared using surveys, independent process review, and other diagnostic techniques. The reports focus attention on areas that need to be addressed, and include:

- General internal controls
- Executive and staff awareness / readiness
- General information technology environment controls
- Map of business processes underlying each major financial account — the foundation for planning SOX implementation
- Analysis of spreadsheet use in financial accounting processes, along with recommendations for improving controls

Identification and Impact of Process Improvements help identify short-term opportunities to improve efficiency, and help defray the costs of adopting SOX.



For more information about SOXRight™ and SOXReady™ Assessment, please contact: info@parkfieldgroup.com or visit our website at: www.soxright.com